



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
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| APPLICATION NUMBER | FILING/RECEIPT DATE | FIRST NAMED APPLICANT | ATTORNEY DOCKET NUMBER |
|--------------------|---------------------|-----------------------|------------------------|
| 09/833,238         | 04/10/2001          | Edwin Dair            | 3918P002XX7            |

CONFIRMATION NO. 2786

08791

BLAKELY SOKOLOFF TAYLOR & ZAFMAN  
12400 WILSHIRE BOULEVARD, SEVENTH FLOOR  
LOS ANGELES, CA 90025

## FORMALITIES LETTER



\*OC000000006030269\*

Date Mailed: 05/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 65.

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. ( 5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice MUST be returned with the reply.*

Customer Service Center

09833238 65.00 DP  
05/23/2001 AGOITOM 00000061  
02 FC:205



Our Docket No.: 003918.P002XX7

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Edwin Dair, et al.

Application No.: 09/833,238

Confirmation No.: 2786

Filed: 04/10/01

For: METHOD AND APPARATUS FOR  
MULTIBOARD FIBER OPTIC  
MODULES AND FIBER OPTIC  
MODULE ARRAYS

**RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION**

Assistant Commissioner for Patents  
BOX MISSING PARTS  
Washington, D.C. 20231

Sir:

In response to the Notice to File Missing Parts of Application mailed February 12, 2001 please find enclosed:

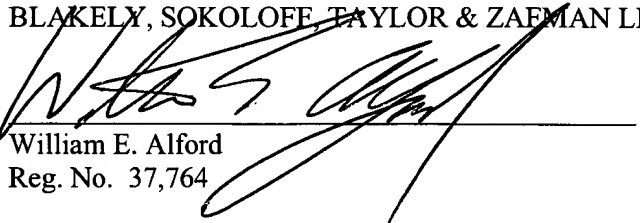
- (1) a duly executed Declaration and Power of Attorney;
- (2) substituted drawings;
- (3) a check in the amount of \$65.00 in payment of the surcharge of 37 CFR § 1.16(e); and
- (4) a copy of the Notice to File Missing Parts of Application.

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate copy of the Fee Transmittal is enclosed for deposit account charging purposes.

Respectfully submitted,

BLAKELY, SOKOLOFF, TAYLOR & ZAEFMAN LLP

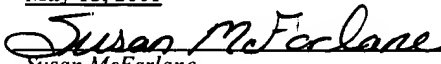
Date: May 15, 2001

  
William E. Alford  
Reg. No. 37,764

12400 Wilshire Boulevard, Seventh Floor  
Los Angeles, California 90025  
(714) 557-3800

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Assistant Commissioner for Patents, Box Missing Parts, Washington, D.C. 20231 on:  
May 15, 2001

  
Susan McFarlane

05/15/01



sector \$ N

PTO/SB/21 (12/97)

Approved for use through 9/30/2000. OMB 0651-0031  
Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

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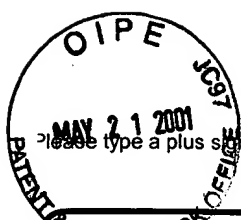
|   |    |                        |                |
|---|----|------------------------|----------------|
| <b>TRANSMITTAL FORM</b><br>(to be used for all correspondence after initial filing) |    | Application No.        | 09/833,238     |
|   |    | Filing Date            | April 10, 2001 |
|   |    | First Named Inventor   | Edwin Dair     |
|   |    | Group Art Unit         |                |
|   |    | Examiner Name          |                |
| Total Number of Pages in This Submission  | 57 | Attorney Docket Number | 3918P002XX7    |

| ENCLOSURES (check all that apply)   |  |  |
|---|--|--|
| <input checked="" type="checkbox"/> Fee Transmittal Form<br><br><input checked="" type="checkbox"/> Fee Attached<br><br><input type="checkbox"/> Amendment / Response<br><br><input type="checkbox"/> After Final<br><input type="checkbox"/> Affidavits/declaration(s)<br><br><input type="checkbox"/> Extension of Time Request<br><br><input type="checkbox"/> Express Abandonment Request<br><br><input type="checkbox"/> Information Disclosure Statement<br><br><input type="checkbox"/> Certified Copy of Priority Document(s)<br><br><input checked="" type="checkbox"/> Response to Missing Parts/<br>Incomplete Application<br><br><input type="checkbox"/> Response to Missing<br>Parts under 37 CFR<br>1.52 or 1.53 | <input type="checkbox"/> Assignment Papers<br>(for an Application)<br><br><input checked="" type="checkbox"/> Drawing(s)<br><br><input type="checkbox"/> Licensing-related Papers<br><br><input type="checkbox"/> Petition Routing Slip (PTO/SB/69)<br>and Accompanying Petition<br><br><input type="checkbox"/> To Convert a<br>Provisional Application<br><br><input type="checkbox"/> Power of Attorney, Revocation<br>Change of Correspondence Address<br><br><input type="checkbox"/> Terminal Disclaimer<br><br><input type="checkbox"/> Small Entity Statement<br><br><input type="checkbox"/> Request for Refund | <input type="checkbox"/> After Allowance Communication<br>to Group<br><br><input type="checkbox"/> Appeal Communication to Board<br>of Appeals and Interferences<br><br><input type="checkbox"/> Appeal Communication to Group<br>(Appeal Notice, Brief, Reply Brief)<br><br><input type="checkbox"/> Proprietary Information<br><br><input type="checkbox"/> Status Letter<br><br><input type="checkbox"/> Additional Enclosure(s)<br>(please identify below):<br><div style="border: 1px solid black; height: 80px; width: 100%;"></div> |
| Remarks   |  |  |

| SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT |  |
|--|--|
| Firm<br>or<br>Individual name              | William E. Alford, Reg. No. 37,764<br><br>BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP |
| Signature                                  |  |
| Date                                       | May 15, 2001   |

| CERTIFICATE OF MAILING/TRANSMISSION  |                 |      |              |
|--|-----------------|------|--------------|
| I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class mail with sufficient postage in an envelope addressed to: Assistant Commissioner for Patents, Washington, D.C. 20231 on: <b>May 15, 2001</b> |                 |      |              |
| Typed or printed name  | Susan McFarlane |      |              |
| Signature  |                 | Date | May 15, 2001 |

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.



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Patent and Trademark Office: U.S. DEPARTMENT OF COMMERCE

PTO/SB/17 (12/99)

# FEE TRANSMITTAL for FY 2000

Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$) 65.00

## Complete if Known

|                        |                |
|------------------------|----------------|
| Application No.        | 09/833,238     |
| Filing Date            | April 10, 2001 |
| First Named Inventor   | Edwin Dair     |
| Examiner Name          |                |
| Group/Art Unit         |                |
| Attorney Docket Number | 3918P002XX7    |

## METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

02-2666

Deposit  
Account  
Name

Blakely, Sokoloff, Taylor & Zafman LLP

- ☒ Charge Any Additional Fee(s) Required  
Under 37 CFR §§ 1.16, 1.17, 1.18 and 1.20.

- ☒ Applicant claims small entity status.  
See 37 CFR 1.27.

2. ☒ Payment Enclosed:

☒ Check ☐ Credit card ☐ Money  
Order ☐ Other

## FEE CALCULATION

### 1. BASIC FILING FEE

Large Entity Small Entity

| Fee<br>Code | Fee<br>(\$) | Fee<br>Code | Fee<br>(\$) | Fee Description        | Fee Paid |
|-------------|-------------|-------------|-------------|------------------------|----------|
| 101         | 710         | 201         | 355         | Utility filing fee     |          |
| 106         | 320         | 206         | 160         | Design filing fee      |          |
| 107         | 490         | 207         | 245         | Plant filing fee       |          |
| 108         | 710         | 208         | 355         | Reissue filing fee     |          |
| 114         | 150         | 214         | 75          | Provisional filing fee |          |

SUBTOTAL (1) (\$)

### 2. EXTRA CLAIM FEES

| Total Claims          | Extra<br>Claims | Fee from<br>below | Fee Paid |
|-----------------------|-----------------|-------------------|----------|
| Independent<br>Claims |                 |                   |          |
| Multiple Dependent    |                 |                   |          |

\*or number previously paid, if greater, For Reissues, see below

Large Entity Small Entity

| Fee<br>Code | Fee<br>(\$) | Fee<br>Code | Fee<br>(\$) | Fee Description  |
|-------------|-------------|-------------|-------------|--|
| 103         | 18          | 203         | 9           | Claims in excess of 20                                       |
| 102         | 80          | 202         | 40          | Independent claims in excess of 3                            |
| 104         | 130         | 204         | 135         | Multiple Dependent claim, if not paid                        |
| 109         | 80          | 209         | 40          | **Reissue independent claims<br>over original patent         |
| 110         | 18          | 210         | 9           | **Reissue claims in excess of 20<br>and over original patent |

SUBTOTAL (2) (\$)

## FEE CALCULATION (continued)

### 3. ADDITIONAL FEE

Large Entity Small Entity

| Fee<br>Code | Fee<br>(\$) | Fee<br>Code | Fee<br>(\$) | Fee Description   | Fee Paid |
|-------------|-------------|-------------|-------------|---|----------|
| 105         | 130         | 205         | 65          | Surcharge - late filing fee or oath   | 65.00    |
| 127         | 50          | 227         | 25          | Surcharge - late provisional filing fee or<br>cover sheet.                    |          |
| 139         | 130         | 139         | 130         | Non-English specification   |          |
| 147         | 2,520       | 147         | 2,520       | For filing a request for reexamination  |          |
| 112         | 920*        | 112         | 920*        | *Requesting publication of SIR prior to<br>Examiner action                    |          |
| 113         | 1,840*      | 113         | 1,840*      | *Requesting publication of SIR after<br>Examiner action                       |          |
| 115         | 110         | 215         | 55          | Extension for response within first month                                     |          |
| 116         | 390         | 216         | 195         | Extension for response within second month                                    |          |
| 117         | 890         | 217         | 445         | Extension for response within third month                                     |          |
| 118         | 1,390       | 218         | 695         | Extension for response within fourth month                                    |          |
| 128         | 1,890       | 228         | 945         | Extension for response within fifth month                                     |          |
| 119         | 310         | 219         | 155         | Notice of Appeal  |          |
| 120         | 310         | 220         | 155         | Filing a brief in support of an appeal  |          |
| 121         | 270         | 221         | 135         | Request for oral hearing  |          |
| 138         | 1,510       | 138         | 1,510       | Petition to institute a public use proceeding                                 |          |
| 140         | 110         | 240         | 55          | Petition to revive - unavoidable  |          |
| 141         | 1,240       | 241         | 620         | Petition to revive - unintentional  |          |
| 142         | 1,240       | 242         | 620         | Utility issue fee (or reissue)  |          |
| 143         | 440         | 243         | 220         | Design issue fee  |          |
| 144         | 600         | 244         | 300         | Plant issue fee   |          |
| 122         | 130         | 122         | 130         | Petitions to the Commissioner   |          |
| 123         | 50          | 123         | 50          | Petitions related to provisional applications                                 |          |
| 126         | 180         | 126         | 180         | Submission of Information Disclosure Stmt                                     |          |
| 581         | 40          | 581         | 40          | Recording each patent assignment per<br>property (times number of properties) |          |
| 146         | 710         | 246         | 355         | Filing a submission after final rejection<br>(37 CFR 1.129(a))                |          |
| 149         | 710         | 249         | 355         | For each additional invention to be<br>examined (37 CFR 1.129(b))             |          |
| 179         | 710         | 279         | 355         | Request for Continued Examination (RCE)                                       |          |
| 169         | 900         | 169         | 900         | Request for expedited examination<br>of a design application                  |          |

Other fee (specify)

Other fee (specify)

\* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 65.00

## SUBMITTED BY

Complete (if applicable)

|                   |                   |                                      |          |           |                |
|-------------------|-------------------|--------------------------------------|----------|-----------|----------------|
| Name (Print/Type) | William E. Alf rd | Registration No.<br>(Attorney/Agent) | 37,764   | Telephone | (714) 557-3800 |
| Signature         |                   | Date                                 | 05/15/01 |           |                |

**WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2039.**

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